

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Continuation Application of: )  
)  
YASUSHI NAKASAKI ) Parent Group Art Unit: 1763  
)  
Application No.: To be Assigned ) Parent Examiner: A. Olsen  
)  
Filed: November 14, 2003 ) Continuation Application of U.S. Patent  
) Application Serial No. 09/672,018, filed  
For: SURFACE TREATING METHOD ) September 29, 2000

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicant brings to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed concurrently with the above-identified continuation application.

Copies of the listed documents were previously submitted in prior application no. 09/672,018, filed September 29, 2000, upon which applicant relies for the benefits provided in 35 U.S.C. § 120. Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicant determines

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that the cited documents do not constitute "prior art" under United States law, applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: \_\_\_\_\_

11/13/03

By: \_\_\_\_\_

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## INFORMATION DISCLOSURE CITATION

Atty. Docket No.	7906.0013-01	Appln. No.	Unknown
Applicant	Nakasaki		
Filing Date	November 14, 2003	Prior Group:	1763

U.S. PATENT DOCUMENTS							
Examiner Initial*		Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
		6,413,583	7/2002	Moghadam et al.			
		5,796,111	8/1998	Mahoney			
		5,459,326	10/1995	Yamada			

FOREIGN PATENT DOCUMENTS							
		Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or N
		10-137704	5/26/98	Japan			
		5-7869	1/19/93	Japan			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
	Bach et al., "Nature of the Transition Structure for Oxygen Atom Transfer from a Hydroperoxide. Theoretical Comparison Between Water Oxide and Ammonia Oxide," J. Am. Chem. Soc., 113:6001-11 (1991)

Examiner	Date Considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce